



Fibonacci heap



Yulia Burkatovskaya

Outline

- ▶ Mergeable heap
- ▶ Structure of Fibonacci heap
- ▶ Mergeable-heap operations
- ▶ Fibonacci-heap operations
- ▶ Bounding maximum degree



Mergeable heap

A **mergeable heap** is any data structure that supports the following five operations, in which each element has a *key*:

- ▶ MAKE-HEAP() creates and returns a new heap containing no elements.
- ▶ INSERT(H,x) inserts element x, whose *key has already been filled in*, into heap H.
- ▶ MINIMUM(H) returns a pointer to the element in heap H whose key is minimum.
- ▶ EXTRACT-MIN(H) deletes the element from heap H whose key is minimum, returning a pointer to the element.
- ▶ UNION(H1,H2) creates and returns a new heap that contains all the elements of heaps H1 and H2. Heaps H1 and H2 are “destroyed” by this operation.
- ▶ In addition to the mergeable-heap operations above, **Fibonacci heaps** also support the following two operations:
 - ▶ DECREASE-KEY(H,x,k) assigns to element x within heap H the new key value k, which we assume to be no greater than its current key value.¹
 - ▶ DELETE(H; x) deletes element x from heap H.



Mergeable heap

Procedure	Binary heap (worst-case)	Fibonacci heap (amortized)
MAKE-HEAP	$\Theta(1)$	$\Theta(1)$
INSERT	$\Theta(\lg n)$	$\Theta(1)$
MINIMUM	$\Theta(1)$	$\Theta(1)$
EXTRACT-MIN	$\Theta(\lg n)$	$O(\lg n)$
UNION	$\Theta(n)$	$\Theta(1)$
DECREASE-KEY	$\Theta(\lg n)$	$\Theta(1)$
DELETE	$\Theta(\lg n)$	$O(\lg n)$



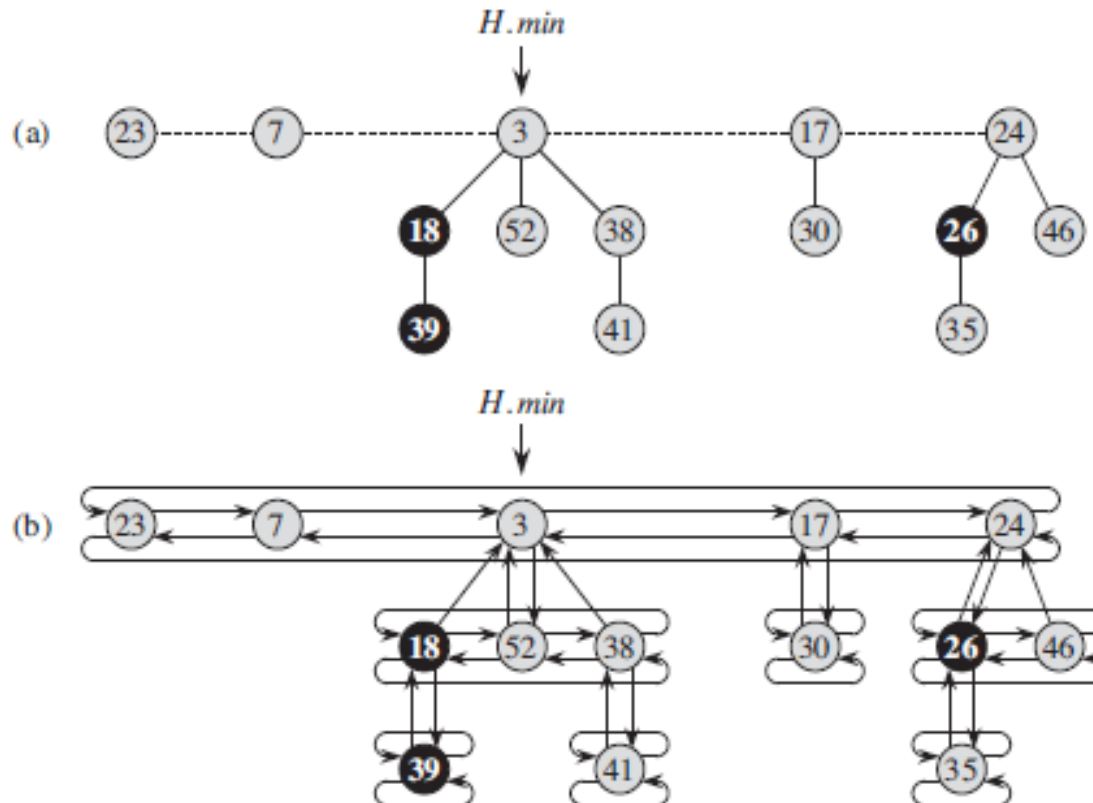
Fibonacci heaps

- ▶ Better to use when EXTRACT-MIN and DELETE operations are rare
- ▶ **Graphs** (single-source shortest path, shortest spanning tree)
- ▶ **Drawback:** not easy to understand and implement



Structure of Fibonacci heap

- ▶ A Fibonacci heap is a collection of rooted trees that are min-heap ordered. That is, each tree obeys the min-heap property: the key of a node is greater than or equal to the key of its parent.



Structure of Fibonacci heap

▶ **Attributes of nodes**

▶ **Key** ($x.key$)

▶ The **number of children** in the child list of node x ($x.degree$).

▶ The Boolean-valued attribute $x.mark$ indicates whether node x has lost a child since the last time x was made the child of another node.

▶ Newly created nodes are unmarked, and a node x becomes unmarked whenever it is made the child of another node.

▶ A pointer $H:min$ to the root of a tree containing the minimum key; we call this node the minimum node.

▶ The roots of the trees are connected with a doubly-linked list.

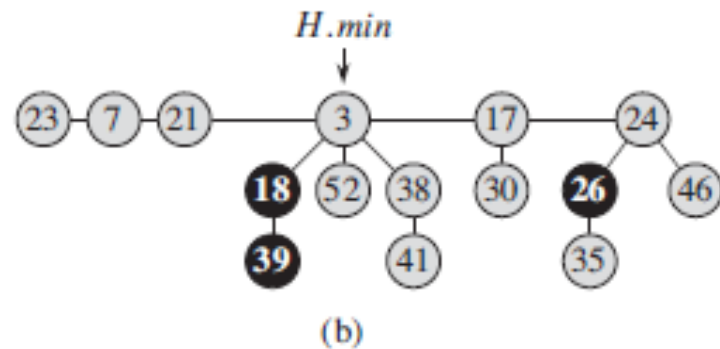
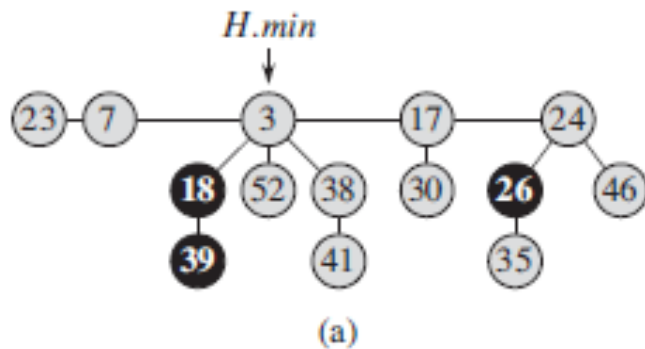


Mergeable-heap operations

▶ Inserting a node

FIB-HEAP-INSERT(H, x)

```
1   $x.degree = 0$ 
2   $x.p = \text{NIL}$ 
3   $x.child = \text{NIL}$ 
4   $x.mark = \text{FALSE}$ 
5  if  $H.min == \text{NIL}$ 
6      create a root list for  $H$  containing just  $x$ 
7       $H.min = x$ 
8  else insert  $x$  into  $H$ 's root list
9      if  $x.key < H.min.key$ 
10          $H.min = x$ 
11   $H.n = H.n + 1$ 
```



Mergeable-heap operations

► **Uniting two Fibonacci heaps**

FIB-HEAP-UNION(H_1, H_2)

- 1 $H = \text{MAKE-FIB-HEAP}()$
- 2 $H.min = H_1.min$
- 3 concatenate the root list of H_2 with the root list of H
- 4 **if** ($H_1.min == \text{NIL}$) or ($H_2.min \neq \text{NIL}$ and $H_2.min.key < H_1.min.key$)
- 5 $H.min = H_2.min$
- 6 $H.n = H_1.n + H_2.n$
- 7 **return** H



Mergeable-heap operations

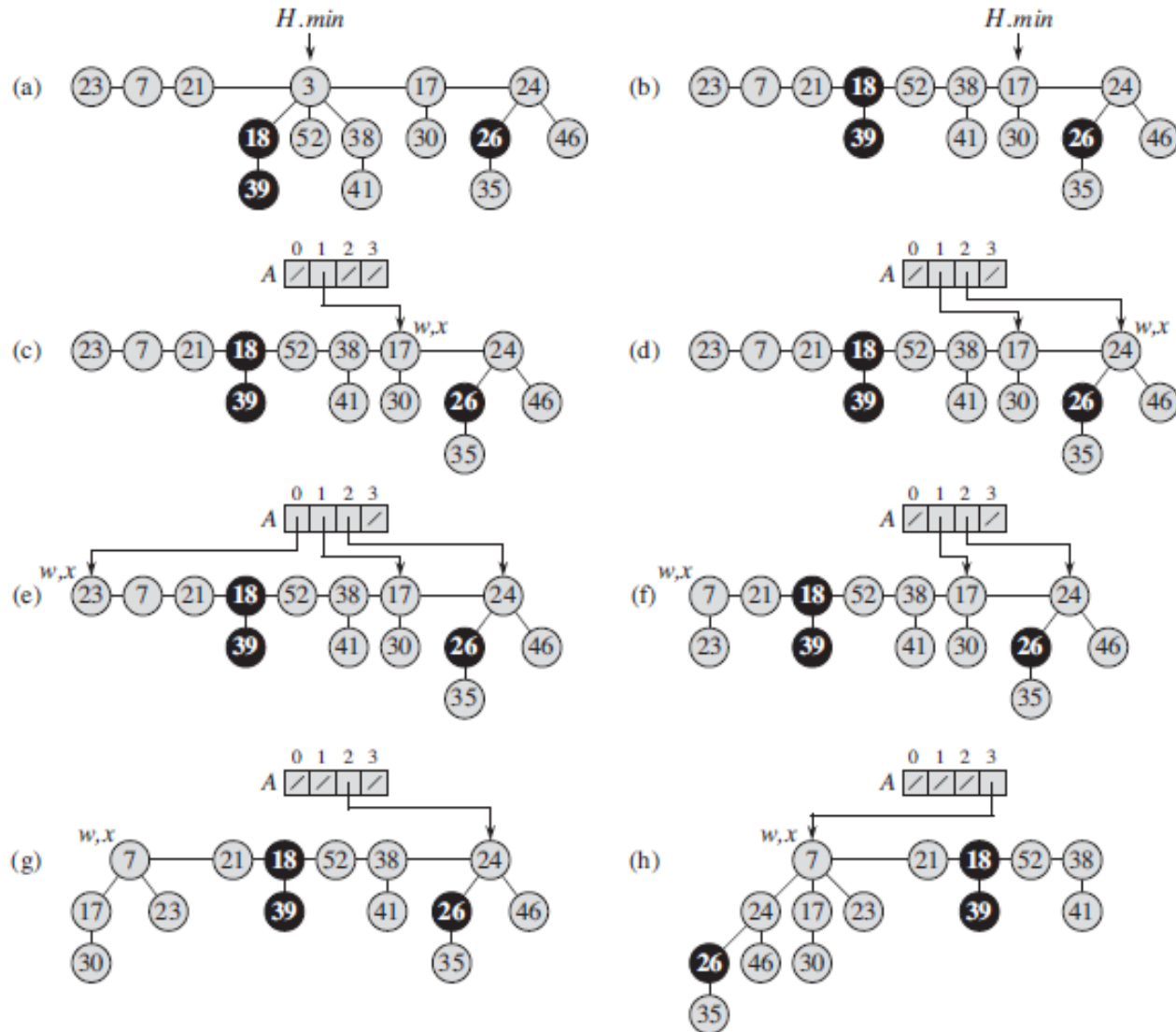
▶ Extracting the minimum node

FIB-HEAP-EXTRACT-MIN(H)

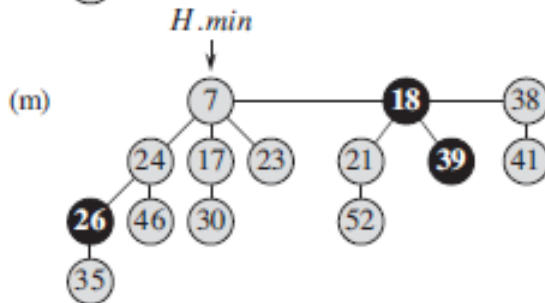
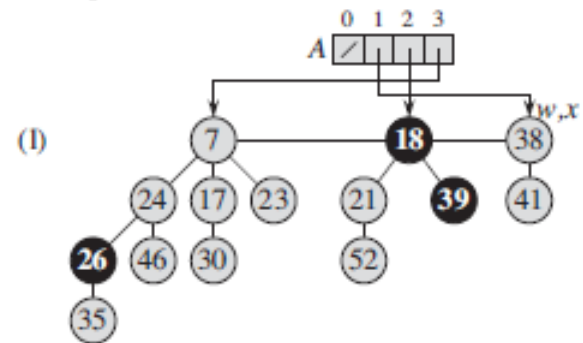
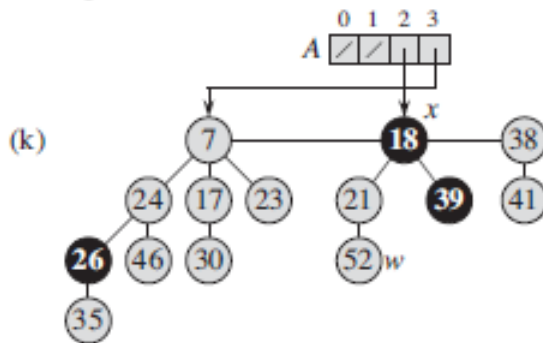
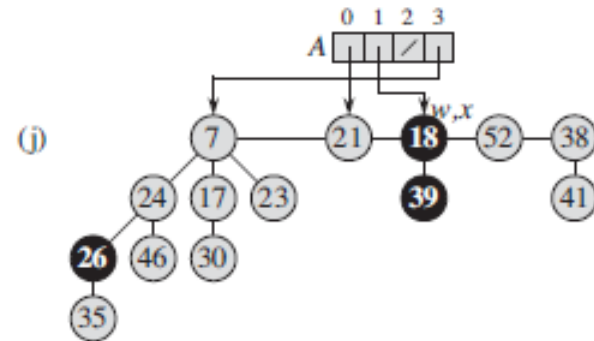
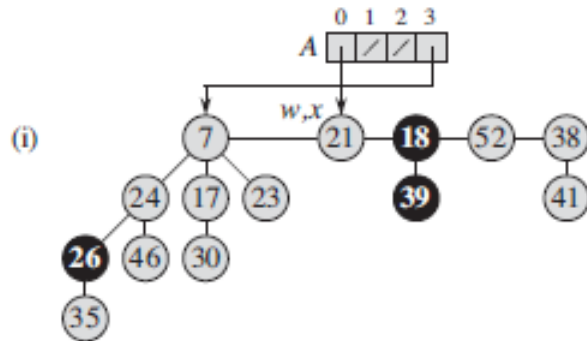
```
1   $z = H.min$ 
2  if  $z \neq \text{NIL}$ 
3      for each child  $x$  of  $z$ 
4          add  $x$  to the root list of  $H$ 
5           $x.p = \text{NIL}$ 
6      remove  $z$  from the root list of  $H$ 
7      if  $z == z.right$ 
8           $H.min = \text{NIL}$ 
9      else  $H.min = z.right$ 
10     CONSOLIDATE( $H$ )
11      $H.n = H.n - 1$ 
12 return  $z$ 
```



Mergeable-heap operations



Mergeable-heap operations



Mergeable-heap operations

- ▶ The procedure CONSOLIDATE uses an auxiliary array $A[0..D(H,n)]$ to keep track of roots according to their degrees. If $A[i]=y$, then y is currently a root with $y:\text{degree}=i$.



Mergeable-heap operations

CONSOLIDATE(H)

```
1  let  $A[0..D(H.n)]$  be a new array
2  for  $i = 0$  to  $D(H.n)$ 
3       $A[i] = \text{NIL}$ 
4  for each node  $w$  in the root list of  $H$ 
5       $x = w$ 
6       $d = x.\text{degree}$ 
7      while  $A[d] \neq \text{NIL}$ 
8           $y = A[d]$  // another node with the same degree as  $x$ 
9          if  $x.\text{key} > y.\text{key}$ 
10             exchange  $x$  with  $y$ 
11             FIB-HEAP-LINK( $H, y, x$ )
12              $A[d] = \text{NIL}$ 
13              $d = d + 1$ 
14      $A[d] = x$ 
15  $H.\text{min} = \text{NIL}$ 
16 for  $i = 0$  to  $D(H.n)$ 
17     if  $A[i] \neq \text{NIL}$ 
18         if  $H.\text{min} == \text{NIL}$ 
19             create a root list for  $H$  containing just  $A[i]$ 
20              $H.\text{min} = A[i]$ 
21         else insert  $A[i]$  into  $H$ 's root list
22             if  $A[i].\text{key} < H.\text{min}.\text{key}$ 
23                  $H.\text{min} = A[i]$ 
```

FIB-HEAP-LINK(H, y, x)

```
1  remove  $y$  from the root list of  $H$ 
2  make  $y$  a child of  $x$ , incrementing  $x.\text{degree}$ 
3   $y.\text{mark} = \text{FALSE}$ 
```



Fibonacci-heap operations

► Decreasing a key and deleting a node

FIB-HEAP-DECREASE-KEY(H, x, k)

```
1  if  $k > x.key$ 
2      error "new key is greater than current key"
3   $x.key = k$ 
4   $y = x.p$ 
5  if  $y \neq \text{NIL}$  and  $x.key < y.key$ 
6      CUT( $H, x, y$ )
7      CASCADING-CUT( $H, y$ )
8  if  $x.key < H.min.key$ 
9       $H.min = x$ 
```

CUT(H, x, y)

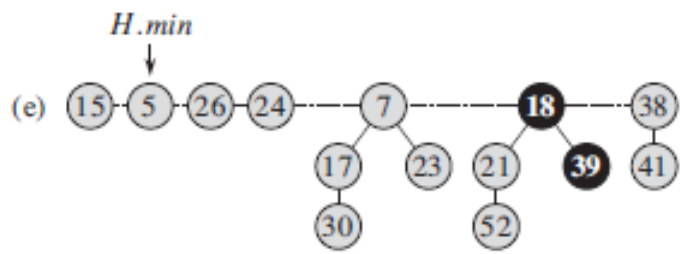
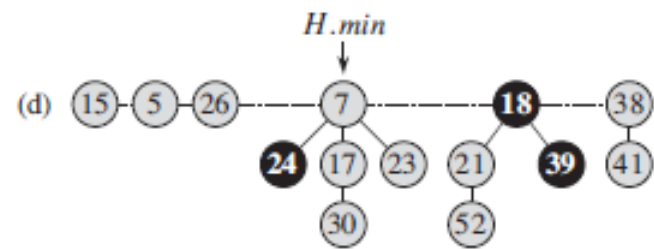
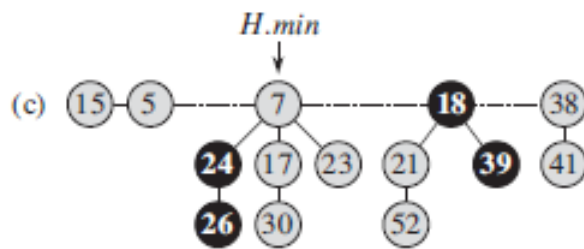
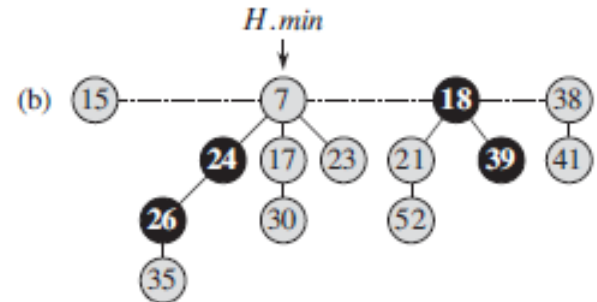
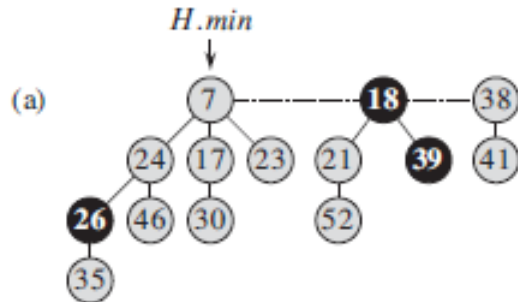
```
1  remove  $x$  from the child list of  $y$ , decrementing  $y.degree$ 
2  add  $x$  to the root list of  $H$ 
3   $x.p = \text{NIL}$ 
4   $x.mark = \text{FALSE}$ 
```

CASCADING-CUT(H, y)

```
1   $z = y.p$ 
2  if  $z \neq \text{NIL}$ 
3      if  $y.mark == \text{FALSE}$ 
4           $y.mark = \text{TRUE}$ 
5      else CUT( $H, y, z$ )
6      CASCADING-CUT( $H, z$ )
```



Fibonacci-heap operations



Fibonacci-heap operations

▶ **Deleting a node**

FIB-HEAP-DELETE(H, x)

- 1 FIB-HEAP-DECREASE-KEY($H, x, -\infty$)
- 2 FIB-HEAP-EXTRACT-MIN(H)



Fibonacci-heap operations

▶ **Deleting a node**

FIB-HEAP-DELETE(H, x)

- 1 FIB-HEAP-DECREASE-KEY($H, x, -\infty$)
- 2 FIB-HEAP-EXTRACT-MIN(H)



Bounding maximum degree

- ▶ For each node x within a Fibonacci heap, define $\text{size}.x$ to be the number of nodes, including x itself, in the subtree rooted at x . (Note that x need not be in the root list—it can be any node at all.)
- ▶ $\text{Size}(x)$ is exponential in $x:\text{degree}$.
- ▶ Let x be any node in a Fibonacci heap, and suppose that $x:\text{degree} = k$. Let y_1, y_2, \dots, y_k denote the children of x in the order in which they were linked to x , from the earliest to the latest. Then, $y_1:\text{degree} \geq 0, \dots, y_i:\text{degree} \geq i-2$.
- ▶ Let x be any node in a Fibonacci heap, and let $k=x:\text{degree}$. Then $\text{size}.x \geq F(k-2) \geq \varphi^k$, where $\varphi = (1 + \text{sqrt}(5))/2$.
- ▶ The **maximum degree** $D(n)$ of any node in an n -node Fibonacci heap is $O(\lg n)$.



Links

- ▶ <https://mitpress.mit.edu/books/introduction-algorithms-third-edition>
- ▶ Cormen, Thomas H.; Leiserson, Charles E.; Rivest, Ronald L.; Stein, Clifford (2009) [1990]. Introduction to Algorithms (3rd ed.). MIT Press and McGraw-Hill.

