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# Methods of resolution enhancement of laser diameter measuring instruments



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#### ARTICLE INFO

## ABSTRACT

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Keywords: In-process diameter measurement Diffraction Spectral analysis The paper presents the implementation of diffraction and spectral analysis methods allowing 1  $\mu m$  resolution enhancement of optical instruments intended for measurements of such round wire materials as cables, wires, cords, etc. with diameters exceeding the wavelength (~0.5 mm and large). The transformation function suggested allows detecting geometrical boundaries of object's shadows that are used to calculate its diameter independently from its location in the gaging zone. The real-time detection algorithm is described for diffraction extreme values in the analog video signal produced by the charge-coupled device sensors. A method of additional improvement of resolution is shown on the basis of spectral analysis.

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## 1. Introduction

A range of advantages in relation to other optical measuring methods is achieved when the diameter of round wire materials is measured with the help of the laser beam divergence technique [1,2]. Particularly, the lack of catadioptric optical system and movable optical components essentially simplifies the optical system and design of a primary measuring transducer. Design and production of two-dimensional diameter measuring instruments based on this method, is a promising trend in cable instrument engineering due to their reliability, relative ease of fabrication, and objective adjustment.

The laser beam divergence technique for diameter measurement used for long wire materials is based on detection of shadow boundaries of the object by means of multielement linear photo-detectors placed in two orthogonal measuring channels. Fig. 1 shows a schematic layout of the optical two-dimensional primary measuring transducer which implements this measurement technique. Traces of laser beams emitted by point radiation sources LAZ<sub>1</sub> and LAZ<sub>2</sub> are shown by dashed lines. These laser beams are directed tangentially to the work piece edges and form light–shadow boundaries  $t_1f$ ,  $t_1s \bowtie t_2f$ ,  $t_2s$  on the respective multielement photodetectors CCD<sub>1</sub> and CCD<sub>2</sub>. This technique and functions of primary measuring data transformation are described in detail in works [3] and [4].

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http://dx.doi.org/10.1016/j.optlastec.2014.09.017 0030-3992/© 2014 Elsevier Ltd. All rights reserved. In practical application, an accurate detection of geometrical boundaries of rising and falling edges of a work piece shadows using a multielement photodetector is rather complicated. This is because the slew rate and the shape of boundaries depend on a local lighting of photodetector and a position of the work piece in a plane orthogonal to the photodetector surface. Scratches, dust, dirt and other during-operation defects of optical glass of measuring instruments affect the accuracy of shadow boundary determination. Even though these defects will be taken into account or effectively eliminated, the accuracy of optical instruments is restricted by diffraction effects occurring at the work piece boundaries that

results in a blurring effect of a shadow. In the patent [5], the principle of the shadow boundary determination is described on the basis of the extreme value distribution from the edge of the opaque object. It is a well-known technique that was investigated in the works [6] and [7]. The principle of the shadow boundary determination is widely used in science and technology [8–16]. In particular, it is applied to enhance the accuracy of geometry measurements of various wire materials. In order to improve a resolution of optical transducers based on a laser beam divergence measurement technique, the analysis of the Fresnel diffraction pattern of large-scale objects was carried out by instruments produced by Sikora and Zumbach Companies. However, in the above mentioned literature, the transformation function allowing the accurate mathematical calculation of the boundary position in measuring wire materials with diameters exceeding the wavelength is not described. This fact restricts the application of Fresnel diffraction by optical transducers based on this technique. In addition to the transformation function, the authors present research into the object movements within the gaging zone affecting the diffraction pattern

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that is very important for the industrial development of measuring devices.

#### 2. Boundary detection method

As shown in Fig. 2, the principle of Fresnel diffraction occurs on the boundary of opaque cylindrical objects. Partially the light penetrates into the shadow region while in the illuminated region it forms the system of diffraction minima and maxima, the



**Fig. 1.** Laser beam divergence technique for diameter measurement;  $LAZ_1$  and  $LAZ_2$  are point radiation sources;  $CCD_1$  and  $CCD_2$  are multielement photodetectors for the 1st and the 2nd measuring channels, respectively; the quantities  $t_1f$ ,  $t_1s$  and  $t_2f$ ,  $t_2s$  are the shadow boundaries of a work piece under evaluation.



**Fig. 2.** Fresnel diffraction at the boundary of opaque cylinder:  $I_0$  is the initial illumination; *L* is the distance between the point source and the multielement photodetector; *y* is the distance between the point source and opaque cylinder.



**Fig. 3.** Diffraction extremum distribution in the vicinity of geometrical boundary:  $X_t$  is the geometrical boundary of shadow;  $M_0$ ,  $M_1$ ,  $M_2$  are the minima of the first, second and third orders, respectively;  $m_0$ ,  $m_1$  are the minima of the first and second orders, respectively.

difference between them monotonically decreases, and the intensity of light goes to the initial illumination  $I_0$ . The distance *L* between the point source and the multielement photodetector depends on the structural properties of the optical transducer and is constant. The distance *y* may vary depending on the position of the work piece under control.

Fig. 3 allows the study of diffraction extremum distribution in the vicinity of geometrical boundary. In case the shadow boundary is projected orthogonally to the photodetector plane, the distance  $X_i$  from the point  $X_t$  to its respective maximum  $M_i$  and the distance  $x_i$  from the same point  $X_t$  to its respective minimum  $m_i$  are defined by formulas

$$X_i = \sqrt{\frac{\lambda L(L-y)}{2y} \left(4i + \frac{3}{2}\right)}, \ x_i = \sqrt{\frac{\lambda L(L-y)}{2y} \left(4i + \frac{7}{2}\right)},\tag{1}$$

where *i* is the number of the respective maximum or minimum starting from zero;  $\lambda$  is the wavelength of the point source (Fig. 3).

A position of the boundary  $X_t$  on the multielement photodetector is the original value for the calculation of diameter using method presented in [3]. Having determined the distance between the first two maxima (interval  $M_0M_1$ ) or minima (interval  $m_0m_1$ ) shown in Fig. 3, the boundary  $X_t$  can be found. Since factor  $\sqrt{\lambda L(L-y)/2y}$  in Eq. (1) is fixed for all extreme values, distribution of these values will then be defined by factors  $\sqrt{4i+3/2}$  and  $\sqrt{4i+7/2}$  for maxima and minima, respectively. Thus, the distance between the extreme values can change proportionally depending on parameters of *L* and *y*, however, correlation between them is being constant. In particular, the interval  $X_tM_0$  correlates with the interval  $M_0M_1$  with fixed coefficient 1.093, while a correlation between intervals  $X_tm_0$  and  $m_0m_1$  equals 2.154. Thus, the formulas below can be derived to find coordinates of geometrical boundaries of rising and falling edges:

$$X_{ft} = 1.093(M_0 - M_1) + M_0 = 2.154(m_0 - m_1) + m_0$$
  

$$X_{st} = M_0 - 1.093(M_1 - M_0) = m_0 - 2.154(m_1 - m_0),$$
(2)

where  $X_{ft}$  and  $X_{st}$  are positions of geometrical boundaries of rising and falling edges;  $M_0$ ,  $M_1$ ,  $m_0$ ,  $m_1$  are the extreme values of diffraction distribution.

#### 3. Experimental

#### 3.1. Measurement setup

The test installation was designed to conduct the experiment. The block diagram of the test installation is shown in Fig. 4, and its implementation in Fig. 5.

The angle measurement was provided by the mechanical dial with 1' angle-error detection. In the centre of the mechanical dial a board with the multielement photodetector was fixed. The cylindrical object  $\sim$  4 mm diameter was also mounted in the centre

next to the board. The linear CCD (charge-coupled device) NEC  $\mu$ PD8871 was used as a multielement photodetector. It has 3 rows of 10,680 pixels and 4  $\mu$ m  $\times$  4  $\mu$ m photocell size. CCD scanning rate and exposure time were 1 kHz and about 50 ms, respectively. Diode laser HLDH-808-B20001 with parameters of 808 nm wavelength, 0.2 W optical power, and 42° beam divergence angle, was fixed on a hanger mounted to the dial. A driving pulse generation for the board with the multielement photodetector and laser emitter was performed by the Terasic DE0 Board based on FPGA Cyclone III. FPGA Cyclone III is used to accurately CCD clock and control with 20 MHz frequency observing all intervals in compliance with its datasheet.

Extremum positions for the diffraction pattern were registered by LeCroy WaveSurfer 64Xs Digital Oscilloscope. The test installation was supplied from the power source.

#### 3.2. Experimental results

#### 3.2.1. Estimation of relationships obtained

Fig. 6 shows the oscillogram of the work piece scanned by a laser beam. All the notations used in this figure are taken from Fig. 3.



Fig. 4. Block diagram of the test installation.

Fig. 7 shows the experimental dependence between coefficients  $k_M$  and  $k_m$  (experimentally equal to 2 and 1.1, respectively) and the work piece movement within the gaging zone normal to the multielement photodetector. As shown in Fig. 6, their values are found to be in good agreement with theoretical results. These values are constant within the wide range of the work piece movements that is true for Eq. (2) in case when the diffraction pattern is formed by an incident rim ray normal to the surface of multielement photodetector.

However, in real instruments a work piece can move not only along the axis normal to the photodetector plane but also in any other direction. This results in the fact that rim rays incident at an angle  $\alpha$  different from 90°, and the geometry of the optical system including parameters *L* and *y*, is transformed to parameters *L'* and *y'*. Diffraction extremum distributionis also transformed from  $X_t$ ,  $M_i$ ,  $m_i$ to  $X_t$ ,  $M_i$ ,  $m_i$  states depending on the incident angle  $\alpha$ , where *X* is the geometrical boundary of shadow; *M* and *m* are maxima and minima of the *i*-th order as shown in Fig. 8.

To validate Eq. (2) in case of oblique incidence of rim rays, it is necessary to clarify the manner in which distances between the principle extreme values of the diffraction pattern correlate depending on the angle of incidence. Distances between the first and the second order and between the second and the third order maxima of diffraction pattern were taken as test distances that correspond to intervals  $M_0M_1$  and  $M_1M_2$  shown in Fig. 3.



Fig. 6. Oscillogram of the work piece with diffraction effects occurred at the boundaries.



Fig. 5. Test installation: 1-mechanical dial; 2-multielement photodetector; 3-cylindrical object; 4-diode laser; 5-Terasic DE0 Board; 6-LeCroy WaveSurfer 64Xs Digital Oscilloscope; 7-power source.

Diagrams shown in Fig. 9a, demonstrates the empirical relation between intervals  $M_0M_1$  and  $M_1M_2$  and the incident angle  $\alpha$ . Zero corresponds to a normal incidence of a rim ray. As it was assumed, distances between the extreme values increase with the increase of beam deflection from the normal to the photodetector plane. As shown in Fig. 9b, the dependence diagram determines a proportionality of a distance change between these extreme values. This diagram demonstrates how the coefficient  $k(\alpha)$ affects the correlation of  $M_0M_1/M_1M_2$  depending on the angle of incidence. Fig. 9b shows that coefficient  $k(\alpha)$  (experimentally equal to 1.35) keeps constant under a wide range of incident angle that proves a proportional change of distances between the extreme values of diffraction pattern. This allows Eq. (2) to be used for an accurate



**Fig. 7.** Dependence between scale coefficients of diffraction and the work piece movements within the gaging zone:  $k_M(y)$  and  $k_m(y)$  are the coefficients for the first two maxima, respectively.



**Fig. 8.** Formation of diffraction pattern on the multielement photodetector at the angle of incidence  $\alpha$ .

detection of the geometrical boundary of the work piece shadow in a wide range of its movements.

#### 3.2.2. Measuring method for geometrical boundary

Thus, the main problem of a preliminary digital signal processing is the calculation of minima  $(m_1, m_2)$  and maxima  $(M_1, M_2)$  of rising and falling edges so as to further calculate the real boundary of shadow  $(X_{ft} \text{ or } X_{st})$ . To solve this problem, an algorithm shown in Fig. 10 is designed to implement on a field programmable logic device (FPLD).

The derivative sign change detector 1 receives serial data on voltage in CCD cells and clock pulses for cell counts. When the derivative signs changes, the detector transmits a control signal to FIFO buffers 4 and 5. FIFO buffers receive a cell number and a control signal from the derivative sign change detector 1, and then output the latter four cell numbers received. The rising edge detector 2 receives serial data on CCD cell voltage and transmits a control signal to the latch 7 in detecting the rising edge. The falling edge detector 3 receives serial data on CCD cell voltage and transmits a control signal to the cell counter 6 in detecting the falling edge. Cell counter 6 receives a control signal from the



**Fig. 10.** Algorithm scheme for detecting minima of rising and falling edges: 1–derivative sign change detector; 2–rising edge detector; 3–falling edge detector; 4, 5–FIFO buffers; 6–cell counter; 7, 8–latches.



**Fig. 9.** Diffraction extreme values depending on the rim ray angle of incidence: *a*)  $M_0M_1$  and  $M_1M_2$  relation depending on the angle of incidence  $\alpha$ ; *b*) coefficient *k* and incident angle  $\alpha$  dependence.

derivative sign change detector 1 and increments internal register's value starting from zero at each change of a signal. Once the internal register achieves value 4, the cell counter will transmit a control signal to latches 7 and 8 from FIFO buffers 4 and 5, respectively. These latches receive four cell numbers each. Register and state reset (except for latches) are carried out upon achieving the upper value of the cell counter or by a start-of-frame signal.

Operating results of the detector are shown in Fig. 11. This figure shows a backward time shift of the detector output so as to illustrate a consistency of extremum determination. Data obtained assist in determination of real positions of geometrical boundaries  $X_{ft}$  and  $X_{st}$  which are then loaded to microprocessor for processing.

#### 3.2.3. Diameter adjustment using spectral analysis

In constructive proposals of the suggested method of diameter measurement the CCD signal pickup takes about 1 ms. This time interval is defined by CCD maximum clock frequency. Theoretically,



Fig. 12. Initial data sampling for one of the shadow boundaries.



Fig. 13. Data sampling for one of the shadow boundaries after neglecting misses.



Fig. 14. Data sampling for one of the shadow boundary spectrum.



Fig. 15. Spectrum of difference between rising and falling edges.

#### Table 1.

Rising and falling edges detection error dependently on laser brightness variation using a standard amplitude and the suggested diffraction detector.

Ι	Amplitude detector		Diffraction detector	
	Rising and falling	Detection	Rising and falling	Detection
	edges (pixel)	error (µm)	edges (pixel)	error (µm)
0.95 · I <sub>0</sub>	1875.2	- 10.4	1876.7	$-0.4 \\ 0 \\ +0.8$
I <sub>0</sub>	1877.8	0	1876.8	
1.05 · I <sub>0</sub>	1880.7	+ 11.6	1877.0	

a work piece can move in the gaging zone due to its vibrations during this interval, and an additional gaging error may occur. However, it can be corrected using a frequency analysis method.

The suggested measuring instrument produces up to 500 frames per second ( $f_s$  = 500 Hz) for each measuring channel allowing registering oscillation frequency up to 250 Hz.

Let us consider a mechanism of this correction using Figs. 12–15. Fig. 12 contains data sampling for shadow boundaries. It is obvious that these data are incorrect, therefore misses were preliminary neglected as shown in Fig. 13.

The signal is then transformed into the Fourier series. A direct Fourier transform can be written as

$$X_k = \sum_{n=0}^{N-1} x_n e^{-\frac{2\pi i k n}{N} k n}.$$
 (3)

At the same time, the oscillation amplitude at frequency  $f = \frac{I_s k}{2N}$  equals to 2|Xk|/N, k=0...N [17].

A spectrum of measured values is shown in Fig. 14. In addition to a constant component this spectrum includes oscillations at 35 Hz



Fig. 16. Instrument prototype and wire gauges. a) Industrial measuring instrument prototype. b) Wire gauges.

frequency. This is either because of vibrations of the work piece occurred within the gaging zone or periodic change of its diameter.

Let us consider the spectrum of difference between rising and falling edges to study the origins of these oscillations (Fig. 15).

Since this spectrum has no large-amplitude oscillations except for their constant component it follows that non-zero oscillations occurred within the rising and falling edges are induced by vibration. With knowledge of the amplitude and frequency of this vibration and CCD scanning rate, it is possible to correct the diameter:

$$dD = t_s V_v = (D/f_{cl})(Af), \tag{4}$$

where  $t_s$  is the diameter scanning time;  $V_v$  is the linear velocity of the work piece; D is the measured diameter in CCD cells;  $f_{cl}$  is CCD clock frequency; A and f are amplitude and frequency of maximum and non-zero oscillations respectively. dD is subtracted from the calculated diameter since vibration affects the accuracy of diameter measurement.

#### 3.3. Discussion

Techniques suggested in this work were tested at various intensities of laser radiation similar to a real operation of a measuring instrument. Results of investigation are shown in Table 1 as compared to those obtained for a classical amplitude detector which detects shadow position by the slew rate and the shape of boundaries on the CCD picture.

The detection error for the shadow boundary as shown in Fig. 6, comes to 10  $\mu$ m at laser brightness variation  $\pm$  5% from a certain initial value  $I_0$ . During operation, this error can increase multiple times due to contamination of optical elements, detection errors of rising and falling edges being summed up for the diameter calculation. In suggested technique of the boundary detection (by diffraction pattern extreme values) the error is around 1  $\mu$ m at the similar flare brightness. This provides high metrological characteristics of measuring instruments regardless of the optic emitter drift characteristics and purity of optics instruments.

Suggested techniques were approved on many opaque cylindrical objects with diameters ranging from 0.5 to 40 mm and made of different materials such as polypropylene, polyethylene, polyvinylchloride, rubber, metals, etc. Semiconductor diodes 808 nm length and 0.2–0.5 W energy were used in this study. As a rule, they possess different beam divergence along different symmetry planes, in particular  $\Theta // \approx 8 \div 11^{\circ}$ ,  $\Theta \perp \approx 39 \div 48^{\circ}$ . In the laser beam divergence technique for diameter measurement, only semiplane  $\Theta \perp$  is used to provide a flare of the entire gaging zone. Therefore, other laser positions and, consequently, differences in the light beam polarization are not presented in this paper.

Depending on a configuration of the optical system, suggested techniques provide resolution for a single diameter measurement within  $2-3 \mu m$  range allowing for optical magnification of a laserbeam-divergence optical transducer (Fig. 1). Further mathematical processing of obtained data in conformance with methodology described in works [3,4] as well as the dataset statistical analysis allows obtaining the general resolution up to 1  $\mu$ m and lower for the optical system.

Estimation of feasibility of the suggested techniques was carried out with the industrial measuring instrument prototype which was designed by the authors (Fig. 16a). Wire gages (from 0.5 to 20 mm) certified at the State Metrological Agency with accuracy of 0.5  $\mu$ m have been used (Fig. 15b). Dimensions of this prototype are 240 mm length; 175 mm height; 57 mm width. Maximum diameter to be measured is 20 mm.

Accuracy of measurement comes to 1  $\mu$ m. Harmonic interference with frequency up to 250 Hz are eliminated. Scanning rate achieves 1 kHz for each measuring channel. It should be noted that object to be measured include such wire materials as cables, cords, polymer tubes, and other products obtained by the extruding technique. The roughness of their surface achieves, as a rule, several dozens and hundreds micrometers, therefore they cannot be referred to a class of polished or reflective surfaces. In measuring cylindrical objects having a high specular reflection factor, effects described in works [18,19] should be taken into account.

### 4. Conclusions

The paper investigates a method of measurement of various kinds of round work piece diameters allowing to accurately resolute the diffraction pattern and detect positions of extreme values to calculate positions of geometrical shadow boundaries. A formula was obtained to accurately determine geometrical position of the work piece shadow without analyzing rising and falling edges of a work piece shadows. Algorithm of physical implementation of the given method in electronic computers was suggested. A frequency analysis algorithm was suggested for additional correction of diameter measurement. Application of the suggested methods and algorithms together with the conversion function described in works [3,4] allows designing instruments for measuring the diameter by laser in non-contact way with high accuracy.

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