

The draft Annual work programme of the Executive Agency for Small and Medium-sized Enterprises for 2016

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PART 1. Message from the Director

1.1 The Agency at cruising speed

In 2016, the Agency will reach cruising speed in terms of programme implementation. At the start of the Agency's third year of operation, the number of running projects¹ reaches 2 146, including 232 from the IEE legacy and 157 from the Eco-innovation legacy. The operational budget for 2016 will amount to EUR 1,32 billion, an increase of 60 % compared to the budget in the first year of the Agency's operation. For all new programmes the full project cycle is now managed by the Agency. Following the closure of the first projects under the new generation of programmes, 2016 will see the first ex-post audits launched.

To manage the increasing stock of running projects, a further increase in the Agency's staff is foreseen. By the end of 2016, staffing figures should amount to 437 which represents an increase of almost 10 % compared to the end of 2015. While the growth of the Agency is less pronounced than in 2015, recruitment will remain one of the key HR-activities in 2016. In addition, more

¹ Grant agreements and procurement contracts

emphasis will be put on the integration of newcomers and the creation of a common culture and values for the Agency.

The significant growth of the Agency prompted also a rethinking of the organizational structures. The experience gained after two years of operation helped us to formulate proposals for organizational changes to better achieve our objectives and to introduce a more coherent structure. Organizational development will therefore be one of the priorities in 2016.

Working with an excellent team of committed professionals, I am confident that we will succeed in achieving our objectives in 2016.

4 1.2 Key Performance Indicators

The following key performance indicators measure the most critical aspects of the Agency's performance. Monitoring data corresponding to these indicators will be presented in detail in the 2016 Annual Activity Report.

Indicator: time to grant

Source of data: EASME.C1

Baseline (2015)				Target (2016) ²			
	TTG ³ (TTI +TTS)	TTI ⁴	TTS ⁵	TTG (TTI +TTS)	TTI	TTS	
H2020, days	239	131	108	H2020, days	8 months (245 days)	5 months (153 days)	
SMEinstr. ⁶	Phase 1: 202 days Phase 2: 294 days	Phase 1: 71 days Phase 2: 75 days	Phase 1: 131 days Phase 2: 219 days	SMEinstr.	Phase 1: 3 months (92 days) Phase 2: 6 months (183 days)	Phase 1: 2 months (61 days) Phase 2: 4 months (122 days)	
FTI	- ⁷	114 days		FTI	6 months (183 days)	3 months (92 days)	
nonH2020, days	243	123	120	nonH2020, days	9 months (274 days)	6 months (183 days)	3 months (92 days)

Indicator: time to pay Source of data: EASME.C1

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² In the Agency's risk assessment, the 'inability to achieve the Time-To-Grant for 100% of grant agreements' was identified as a risk since it suffices to have one grant agreement signed outside the reference period to fail on this objective. It should also be noted that the Agency is not responsible for all steps in the grant agreement preparations (i.e. participant validation, security screening) and therefore cannot control the full process and its timeliness. Therefore, and in order to present a fair picture of the achievements on Time-To-Grant, the Agency will also report on the average time to grant and the % of grant agreements signed within the target deadlines.

³ TTG = (total) Time-To-Grant: time between the closing date for submission and the date of signature of all grant agreements (or the last one). Grants selected from the reserve list are not taken into consideration

⁴ TTI = Time-To-Inform: time between the closing date for submission and the date of informing all applicants of the outcome of the evaluation of their application

⁵ TTS = Time-To-Signature: time between the date of informing applicants they have been successful and the date of signing grant agreements with applicants or notifying grant decisions to them

⁶ The official statistics include the data for the security topic, requiring security verifications by DG HOME, where time-to-grant only starts after DG HOME has finished the security scrutiny process. The Agency managed to finalise 90% of all Phase 1 grants for the March cut-off within 95 days and 90% of all Phase 2 grants for the same cut-off within 170 days (below 6 months)

⁷ Grant agreement preparations not concluded before end of the year

94% of payments within legal deadlines		100% of payments within legal deadlines	
Legal deadline, days	Result, % %	Legal deadline, days	Target, % %
30	95	30	100
60	86	60	100
45	68	45	100
90	98	90	100
Indicator: % of budget execution (commitments and payments) with respect to budget appropriations Source of data: EASME.C1			
Baseline (2015)		Target (2016)	
operational budget: 100% commitments and 99.9% payments		operational budget: 100% (commitments and payments)	
operating budget: 99% commitments and 84% payments			
Indicator: residual error rate in financial transactions Source of data: EASME.C02			
Baseline (2015)		Target (2016)	
IEE II: 2.45%, Eco-inno: 1.47%, EEN: 1.73% COSME, LIFE, EMFF, H2020: N.A.		IEE II, Eco-inno, EEN, COSME, LIFE, EMFF: less than 2% of the total budget for grants per programme H2020: as close as possible to 2% (within the range of 2-5%) (as per H2020 audit strategy)	
Indicator: number of critical / very important accepted audit recommendations (made by ECA and IAS) overdue for more than six months Source of data: EASME.C02			
Baseline (2015)		Target (2016)	
None		None	

PART 2. Mission Statement

Executive Agencies are established by the Commission in accordance with Council Regulation (EC) No 58/2003⁸ with the purpose of delegating certain tasks relating to the management of Union programmes, including budget implementation. While the Commission performs tasks involving a large measure of discretion implying political choices (ie. setting objectives and priorities), the Executive Agencies are responsible for implementing tasks. This enables the Commission to focus on its core activities and to dispose of sufficient technical expertise for the management of such programmes with the goal to achieve a more efficient implementation.

The Executive Agency for Small and Medium-sized Enterprises (EASME)⁹ is entrusted with the management of parts of the following Union programmes:

- the Framework Programme for Research and Innovation (Horizon 2020) 2014-2020;
- the Programme for the Competitiveness of Enterprises and small and medium-sized Enterprises (COSME) 2014-2020;
- the Programme for the Environment and Climate Action (LIFE) 2014-2020;

⁸ Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11 of 16.01.2003)

⁹ Following the establishment of the Intelligent Energy Executive Agency (IEEA) by Commission Decision 2004/20/EC of 23 December 2003 (OJ L 5 of 9.01.2004), the Commission decided to transform the IEEA into the EACI (Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC (OJ L 140 of 1.06.2007). End 2013, the EACI was replaced and succeeded by the EASME (Commission Implementing Decision C(2013/771/EU) of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC). The related Act of Delegation (Commission Decision C(2013)9414 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation and ICT, comprising, in particular, implementation of appropriations entered in the general budget of the Union) - hereinafter referred as Act of Delegation - was adopted on 23 December 2013 and amended by Commission Decision C(2014)4636 of 11 July 2014 and by Commission Decision C(2014)6944 of 2 October 2014

- the European Maritime and Fisheries Fund (EMFF);
- the legacy of the Competitiveness and Innovation Programme 2007-2013 limited to the following parts: "Intelligent Energy Europe Programme (IEE II)", the "Ecoinnovation initiative", the "Enterprise Europe Network", "Your Europe Business Portal", the "European IPR Helpdesk" and the "IPorta Project".

The Agency's mission statement is as follows: «We provide high quality support to our beneficiaries, turning EU policy into action. As an executive agency of the European Commission, we manage significant parts of COSME, LIFE, Horizon 2020 and EMFF. We ensure that actions funded by these programmes deliver results and provide the Commission with valuable input for its policy tasks».

The Agency shall implement these tasks in close cooperation with its parent DGs (DG GROWTH, DG RTD, DG ENV, DG CLIMA, DG ENER, DG CONNECT and DG MARE) and other concerned Commission services. The Agency also provides the Commission all the necessary feedback that it needs for its policy and communication tasks, as set by the Memorandum of Understanding between the Agency and its parent DGs.

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PART 3. Overview of outputs for the year

The Agency contributes to the achievement of the objectives and priorities as laid down by the Commission and the parent DGs as defined in their Strategic Plan. The sections below briefly describe the tasks the Agency has been entrusted with and list, per programme, the main outputs expected for the year 2016.

3.1 Programme for the Competitiveness of Enterprises and Small and Medium-sized Enterprises (COSME)

The Programme for the Competitiveness of Enterprises and Small and Medium Enterprises (COSME)¹⁰

– is the Union's programme to strengthen the competitiveness and sustainability of the Union's enterprises and to encourage an entrepreneurial culture and promote the creation and growth of Small and Medium-sized Enterprises (SMEs). These objectives will be met by:

- improving access to finance for SMEs in the form of equity and debt;
- improving access to markets, particularly inside the Union but also at global level;
- improving framework conditions for the competitiveness and sustainability of Union enterprises, particularly SMEs, including in the tourism sector;
- promoting entrepreneurship and entrepreneurial culture.

According to the legal base, the overall indicative budget for the seven-year period of COSME (2014-2020) is EUR 2.3 billion. The 2016 COSME Work Programme was adopted on 18/01/2016¹¹. The 2016 budget amounts to EUR 283 million (including the financial instruments).

The Agency is entrusted with the implementation of actions under the four objectives of the COSME programme as mentioned above.

Relevant general objective:		
A New Boost for Jobs, Growth and Investment		
Specific objective:		
To improve access to finance for SMEs in the form of equity and debt		
Main outputs in 2016:		
EXPENDITURE-RELATED OUTPUTS	INPUTS: operational expenditure (CA)	
	Budget line	EUR million

¹⁰ Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014 - 2020) and repealing Decision No 1639/2006/EC

¹¹ Commission Implementing Decision C(2016) 63 final of 18/01/2016 on the adoption of the work programme for 2016 and the financing for the implementation of the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises

		02 02 02	0,7
KEY ACTIONS	Indicator	Target	Responsible Unit / Remarks
Promotion of the projects / programme	For details, please see the Communication Work Plan		A1 – C2
Management of specific contracts under a framework contract (GRO/SME/16/A/03): <input type="checkbox"/> Promotional activities <input type="checkbox"/> Studies <input type="checkbox"/> Workshops	Number of promotional activities Number of studies Number of workshops	Tbd Tbd 3 to 5	A1
Monitoring of projects	Desk checks	N.A.	A1
Feedback to parent DG	For details, please see the policy feedback guidebook ¹²		A1
Relevant general objective:			
A New Boost for Jobs, Growth and Investment			
Specific objective:			
To improve access to finance for SMEs in the form of equity and debt			
Main outputs in 2016:			
EXPENDITURE-RELATED OUTPUTS		INPUTS: operational expenditure (CA)	
		Budget line	EUR million
		02 02 01	99 ¹³
KEY ACTIONS	Indicator	Target	Responsible Unit /Remarks
Promotion of the projects / programme	For details, please see the Communication Work Plan		A1-C2
Preparation and publication of calls for proposals: <input type="checkbox"/> Organisation of the Network's Annual Conference (GRO/SME/16/B/01) (ad-hoc grant) <input type="checkbox"/> Cooperation with national agencies for internationalization (GRO/SME/16/B/03) <input type="checkbox"/> EU-Japan Centre for Industrial Cooperation (GRO/SME/16/B/04) (ad hoc grant) <input type="checkbox"/> Points of Single Contact: increasing user-friendliness	Number of calls published		

¹² Ares(2015)5511097

¹³ This amount covers all specific objectives of COSME except for the specific objective 'to improve access to finance for SMEs in the form of equity and debt'

through users testing (GRO/SME/16/B/05) <input type="checkbox"/> Points of Single Contact: awareness raising (GRO/SME/16/B/05) <input type="checkbox"/> Improving SMEs' access to public procurement (GRO/SME/16/B/06)			
Preparation and publication of calls for tender: <input type="checkbox"/> EU-third country events, including B2Bs in major international fairs (GRO/SME/16/B/03)	Number of calls published	1	A1
Evaluation of the proposals	Time to inform	All applicants informed within 6 months after the call deadline	A1
	% of proposals challenged under the evaluation review procedure	Less than 3%	A1
	Number of review requests that lead to reevaluation	Not more than one	A1

Grant agreement preparations:

- Enterprise Europe Network (GRO/SME/16/B/01)

Time to grant 100% of grant agreements signed within 9 months after the call deadline A1

Signature of specific grant agreements in Q4 2016 – Q1 2017

Evaluation and signature of procurement contracts

Number of contracts signed 1 A1

Management of specific contracts under a Number of contracts A1 framework contract:

- Network animation (GRO/SME/16/B/01)
- Your Europe Business Portal (GRO/SME/16/B/02) Tbd

Monitoring of projects Number of project meetings attended

At least one meeting attended per project A1

Time to pay 100% of payments within legal deadlines A1 – C1

Feedback to parent DG(s) Number of Programme Committee meetings attended All meetings attended A1

For details, please see the policy feedback guidebook¹⁴ A1

Relevant general objective:

A New Boost for Jobs, Growth and Investment

Specific objective:

To improve framework conditions for the competitiveness and sustainability of Union enterprises, particularly SMEs, including in the tourism sector

¹⁴ Ares(2015)5511097

Main outputs in 2016:

EXPENDITURE-RELATED OUTPUTS INPUTS: operational expenditure (CA)

Budget line EUR million 02 02 01 99

KEY ACTIONS Indicator Target Responsible Unit / Remarks Promotion of the projects / programme

For details, please see the Communication Work Plan A1-C2

Preparation and publication of calls for proposals:

- Social entrepreneurship in EU Public policies (GRO/SME/16/C/02)
- Best practices of social enterprises through their lifecycle (GRO/SME/16/C/022)
- Clusters Go International (GRO/SME/16/C/051)
- Improving socio-economic knowledge of the tourism sector through cooperation with OECD (ad-hoc grant) (GRO/SME/16/C/074)

Raising awareness of civil drones' operators about

Privacy and Data protection obligations (GRO/SME/16/C/131)

CSR in entrepreneurship and business education (GRO/SME/16/C/151)

Number of calls published 6 A1

Preparation and publication of calls for tender:

- European Cluster Collaboration Platform (GRO/SME/16/C/052)
- Worth Partnership Project (GRO/SME/16/C/061)
- EDEN+: selection of new European Destinations of Excellence (GRO/SME/16/C/071)
- Supporting tourism –related businesses to find international business partners in target markets (GRO/SME/16/C/076)
- European Sustainable Chemicals Support Service (ESCSS) (GRO/SME/16/C/081)
- Reinforcing cities and regions as centres of digital transformation (GRO/SME/16/C/101)
- European Observatory for clusters and industrial change (GRO/SME/16/C/102)
- Regional Cooperation Networks for Industrial Renaissance and Modernisation (RECONFIRM) (GRO/SME/16/C/103)
- Study on potential of servitisation for EU SMEs (GRO/SME/16/C/111)
- Overall vision on skills for smart industrial specialization and digital transformation (GRO/SME/16/C/121)
- Scaling up best practices and re-focus funds, programmes and incentives (GRO/SME/16/C/122)
- Curriculum guidelines for KETs and advanced manufacturing (GRO/SME/16/C/123)
- Promoting online training opportunities for the workforce (GRO/SME/16/C/124)
- Developing digital organisation frameworks in support of digital transformation and ICT professionalism (GRO/SME/16/C/125)
- Supporting specialised skills development: Big Data, IoT Number of calls published 16 A1 and cyber-Security for SMEs (GRO/SME/16/C/126)
- CSR Risk Check Tool (GRO/SME/16/C/152)

Evaluation of the proposals Time to inform All applicants informed within 6 months after the call deadline A1 % of proposals challenged under the evaluation review procedure Less than 3% A1

Number of review requests that lead to reevaluation

Not more than one A1

Grant agreement preparations: Time to grant 100% of grant agreements signed within 9 months after the call deadline A1

Evaluation and signature of procurement contracts:

Number of signed contracts 12 A1

Management of specific contracts under a framework contract:

- SME Performance Review (GRO/SME/16/C/011)
- SBA Implementation (GRO/SME/16/C/012)
- Integrated Report on economic and entrepreneurship studies (GRO/SME/16/C/041)

Information and awareness raising activities, organization and participation in dedicated tourism events (GRO/SME/16/C/072)
 "Virtual Tourism Observatory" (GRO/SME/16/C/075)
 Review (study) of the implementation of the "Construction 2021" strategy 6 A1
 Monitoring of projects Number of project meetings attended
 At least one meeting attended per project A1
 Time to pay 100% of payments within legal deadlines A1 – C1
 Feedback to parent DG(s) Number of Programme Committee meetings attended
 All meetings attended A1
 For details, please see the policy feedback guidebook¹⁵ A1
 Relevant general objective:
 A New Boost for Jobs, Growth and Investment
 Specific objective:
 To promote entrepreneurship and entrepreneurial culture
 Main outputs in 2016:
 EXPENDITURE-RELATED OUTPUTS INPUTS: operational expenditure (CA)
 Budget line EUR million 02 02 01 99
 KEY ACTIONS Indicator Target Responsible Unit / Remarks Promotion of the projects / programme
 For details, please see the Communication Work Plan A1-C2
 Preparation and publication of calls for proposals:
 Erasmus for Young Entrepreneurs(FPA/SGA) (GRO/SME/16/D/011)
 Migrants Entrepreneurs best practice exchange (GRO/SME/16/D/031)
 European Network for Early Warning and for Support to Enterprises and Second Starters (GRO/SME/16/D/041)
 Number of calls published 3 A1
 Preparation and publication of calls for tender:
 Support office EYE
 Number of calls published 1 A1
 Evaluation of the proposals Time to inform All applicants informed within 6 months after the call deadline A1 % of proposals challenged under the evaluation review procedure Less than 3% A1 Number of review requests that lead to reevaluation Not more than one A1 Grant agreement preparations: Time to grant 100% of grant agreements signed within 9 months after the call deadline A1
 Evaluation and signature of procurement contracts
 Number of signed contracts 1 A1
 Monitoring of projects Number of project At least one meeting A1 meetings attended attended per project
 Time to pay 100% of payments within legal deadlines A1 – C1
 Feedback to parent DG(s) Provision of data and information on the implementation of the SME-instrument
 Throughout the year A1
 For details, please see the policy feedback guidebook¹⁶ A1
 Planning calls for proposals:
 Call title Call Identifier Publication date Deadline Ind. Budget (EUR million)¹⁷
 Consultation Enterprise Europe Network 2017/2018 (SGA COSME) (No publication on PP)
 COS-EEN-SGA2-2016-2-01 31/03/2016 30/05/2016 42 MIO €
 Cooperation with National agencies for Internationalisation COS-ETPO-2016-02-02 30/03/2016 30/06/2016 1MIO €

¹⁵ Ares(2015)5511097

¹⁶ Ares(2015)5511097

¹⁷ 2016 commitments appropriations

EU JAPAN CENTRE (ad-hoc) non publication on PP NA NA NA 2.8 MIO €
 Points of Single Contact Testing COS-SPOC1-2016-02-03 30/03/2016 30/06/2016 0.5 MIO €
 Points of Single
 Contact Awareness raising COS-SPOC2-2016-02-04 30/03/2016 30/06/2016 0.5 MIO €
 Improving SME's access to public procurement COS-APP-2016-02-05 01/03/2016 03/05/2016
 0.8 MIO €
 Social Entrepreneurship in EU public policies COS-SOCEEUPOL-2016-02-0605/07/2016
 06/09/2016 0.8 MIO €
 Best practices pf social enterprises COS-SOCEEUBP-2016-02-07 05/07/2016 06/09/2016 0.8
 MIO €
 Cluster Go International COS-CLUSTINT-2016-3-01 06/12/2016 06/03/2017 4.425 MIO €
 Tourism – Cooperation with OECD (ad-hoc grant) no publication on PP NA 25/05/2016
 15/06/2016 0.350 MIO €
 Privacy and data protection for civil drone's operators COS-DRONES-2016-03-02 04/10/2016
 06/01/2017 0.450 MIO €
 CSR in Entrepreneurship and Education COS-CSR-2016-03-03 14/06/2016 14/09/2016 1.2 MIO
 €
 ERASMUS FOR YOUNG ENTEPRENEURS(FPA) COS-EYE-FPA-2016-4-01 20/01/2016
 05/04/2016 NA
 Consultation EYE 1st SGA no publication on PP COS-EYE-SGA-2016-4-02 06/09/2016
 11/10/2016 6.6 MIO €
 Migrants Entrepreneurship support Schemes COS-MIGRANTS-04-03 30/03/2016 30/06/2016
 0.5 MIO €
 European Network for Early Warning and for Support to Enterprises and Second Starters COS-
 EARLYWEU-04-04 30/03/2016 30/06/2016 3.8 MIO €

3.2 Framework Programme for Research and Innovation (Horizon 2020)

‘Horizon 2020’¹⁸ is the EU’s funding programme for research and innovation which strives to stimulate the economy and secure the science and technology base and industrial competitiveness for the future, contributing towards a smarter, more sustainable and more inclusive society. The 2016-2017 Horizon 2020 Work Programme was adopted on 13 October 2015¹⁹.

The Agency is entrusted with the following parts of Horizon 2020:

Parts of the specific objective "Innovation in SMEs" of "Part II Industrial Leadership", succeeding similar activities within the CIP (Competitiveness and Innovation Framework Programme).

Within "Part II Industrial Leadership" under the specific objective "Leadership in enabling and industrial technologies", activities on sustainable, resource-efficient and low-carbon technologies in energy-intensive process industries as referred to in Annex I § 1.5.3 (c) of Horizon 2020 succeeding similar actions (Sustainable Low Carbon Industries SILC) within the CIP.

Within "Part II Industrial Leadership" under the specific objective "Leadership in enabling and industrial technologies", the activities underlining the importance of information and communication technologies entering a new disruptive phase (Open and Disruptive Innovation) as referred to in Annex I § 1 of Horizon 2020²⁰.

Within the specific objective "Secure, clean and efficient energy" of "Part III Societal challenges:

¹⁸ Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC and Council Decision of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC

¹⁹ Commission Decision C(2015)6776 of 13 October 2015

²⁰ These activities will be implemented through the SME-instrument

- energy efficiency activities referred to in Annex I § 3.3 (g) of Horizon 2020 succeeding and extending similar actions of the Intelligent Energy Europe Programme (IEE II) within the CIP;
 - research and technology development for energy efficiency, including the "Energy Efficiency in Buildings Public-Private Partnership" and "European Private Public Partnership dedicated to innovation in resource and energy efficiency in and enabled by the process industries (SPIRE)";
- The specific objective "Climate action, environment, resource efficiency and raw materials" of "Part III Societal challenges" (with the exception of activities implemented by Article 185 and Article 187 TFEU initiatives and the ERA NETs) including:
- activities succeeding similar actions on better use of raw materials and efficiency in the processing of biological resources under the Seventh Framework Programme for Research (FP7).
 - activities on eco-innovation succeeding similar actions within the CIP
- The "SME instrument" as part of the specific objective "Leadership in enabling and industrial technologies" of "Part II – Industrial Leadership" and of "Part III – Societal Challenges" as a new action;
- The "Fast Track to Innovation pilot scheme" as part of the specific objective "Leadership in enabling and industrial technologies" of Part II – Industrial leadership" and of "Part III – Societal Challenges".

3.2.1 Innovation in SMEs

Relevant general objective(s):

A new boost for jobs, growth and investment

Specific objective:

To ensure an effective and efficient implementation of Horizon 2020 and maximise synergies

Main outputs in 2016:

EXPENDITURE-RELATED OUTPUTS INPUTS: operational expenditure (CA)

Budget line EUR million

02 04 02 03 36,7

KEY ACTIONS Indicator Target Responsible Unit / Remarks

Promotion of the projects / programme

For details, please see the Comm. Work Plan A1-A2-C2

Preparation and publication of calls for proposals:

- European SME innovation associate – pilot (INNOSUP-02-2016)
- A better access to industrial technologies developed overseas (INNOSUP-08-2017)
- Enhancing innovation management capacities in SMEs

Number of calls published 3 A1 (other action 1) (consultation of EEN)

Preparation and publication of calls for tender:

- Accompanying study to INNOSUP-02 (other action 5)
- Accompanying training programme to INNOSUP-02 (other action 6)
- Accompanying European Social Innovation Competition (other action 7)
- Supporting assessments of 'innovation management capacity' (other action 2)

Number of calls published 4 A1

Evaluation of proposals

Time to inform

All applicants informed within 5 months after the call deadline A1 / A2 % of proposals challenged under the evaluation review procedure Less than 3% A1 / A2

Number of review requests that lead to reevaluation

Not more than one A1 / A2

Grant agreement preparations

Time to grant 100% of grant agreements signed within 8 months after the call deadline A1 / A2

Number of signed grant agreements

- SMEs for social innovation – challenge platform (INNOSUP-04-2016):
- Social Innovation Competition: 1
- Enhancing innovation management capacities in SMEs (other action 1): 80
- Cluster facilitated projects for new industrial value chains (INNOSUP-01-2016-2017): 6
- Peer learning of innovation agencies (INNOSUP-05-2016-A1 / A2 20 2017): 25 Evaluation and signature of procurement contracts:
- Social Innovation Competition
- Provision of innovation management assessment tool (IMP3rove)
- Further developing consulting capacity in innovation management in EEN (other action 3)

Number of signed contracts 3 A1

Monitoring of projects Time to pay 100% of payments within legal deadlines A1 / A2 / C1

Feedback to parent DG(s) Provision of data and information on the implementation of the SME-instrument

Throughout the year A1 / A2

For further details, please see the policy feedback guidebook²¹, the Horizon 2020-MoU and the CSC strategy on for an Effective Dissemination and Exploitation of Research Results in Horizon 2020 A1 / A2

Planning calls for proposals (launched in 2016):

Call title Call Identifier Publication date Deadline / Cutoff date

Topics delegated to EASME Ind. Budget EASME topics (EUR million)²²

Consultation Entreprise Europe Network 2017/2018 (SGA H2020-EENSGA2-2016 9.2 MIO €

Cluster facilitated projects for new industrial value chains INNOSUP-01-2016-2017 14/10/2015

1 ST STAGE 10/11/2016 2D STAGE 0/09/2016 ST STAGE 04/04/2017 2D STAGE 07/09/2017

15 MIO €

European SME innovation Associate – pilot INNOSUP-02-2016 14/10/2015 30/06/2016 7.2

MIO €

SMEs for social innovation –Challenge platform INNOSUP-04-2016 14/10/2015 28/04/2016 3.5

MIO €

Peer learning of innovation agencies INNOSUP-05-2016-2017 14/10/2015 17/03/2016

18/10/2016 08/03/2017 18/10/2017 0.5 MIO €

3.2.2 Societal challenge 'Secure, clean and efficient energy'

Relevant general objective(s):

A resilient Energy Union with a forward looking climate policy

Specific objective:

Tapping the job and growth potential of the energy sector and further developing energy technologies (Horizon 2020), including ITER and the safe and secure use of nuclear energy.

To contribute to the Research, Innovation and Competitiveness dimensions of the Energy Union, and climate-change policy Main outputs in 2016:

EXPENDITURE-RELATED OUTPUTS INPUTS: operational expenditure (CA)

Budget line EUR million 32 04 03 01 94,1

KEY ACTIONS Indicator Target Responsible Unit / Remarks Promotion of the projects / programme

Number of Info Days At least one Info Day in Brussels and 5 events abroad B1 / C2

For further details, please see the Comm. Work Plan B1 / C2

Preparation of calls for proposals:

- H2020-EE-2017 Pool of experts established on time Large pool of experts ready one month prior to the Call deadlines B1 Preparation and publication of calls for tender:

- Sustainable energy investment forums

- Support to local energy actors Number of calls published 2 B1 Evaluation of the proposals:

²¹ Ares(2015)5511097

²² 2016 commitment appropriations

□ H2020-EE-2016 Time to inform All applicants informed within 5 months after the call deadline B1 Number of proposals to be evaluated 450 B1 % of proposals challenged under the evaluation review procedure Less than 3% B1 Number of review requests that lead to reevaluation Not more than one B1 Grant agreement preparations:

□ H2020-EE-2015 (June 2015 deadline)

□ H2020-EE-2016 (Jan 2016 deadline)

Time to grant 100% of grant agreements signed within 8 months after the call deadline B1

Evaluation and signature of procurement contracts Number of service contracts signed 2 B1

Management of concerted actions Number of concerted actions signed 1 (RES Directive concerted action followup) in Q3 B1

Number of concerted actions meetings attended

All plenary meetings of the EPBD IV and RES III concerted actions B1 attended

Monitoring of projects Number of project meetings attended

50 meetings attended B1

Time to pay 100% of payments within legal deadlines B1 / C1

Feedback to parent DG(s) Number of Programme

Committee meetings attended

All meetings attended B1

Number of liaison meetings

At least 8 meetings B1

Number of policy-support events

At least 10 events (e.g. debriefing meeting on Call results/lessons; tender evaluation; inputs to policy documents; organisation and logistic support for EU Sustainable Energy Week B1 / C2

For more details, please see the policy feedback guidebook²³, the Horizon 2020-MoU and the CSCstrategy on for an Effective Dissemination and Exploitation of Research Results in Horizon 2020 B1

Planning calls for proposals:

Call title Call Identifier Publication date Deadline Topics delegated to EASME Ind. Budget EASME topics (EUR million)

Energy Efficiency Call 2016 H2020-EE-2016-15/10/2015 21/01/2016 EE03, EE04, EE05, EE07, EE08, EE10, EE17 50: - EE07 and EE10: 16 - all other topics: 34

Energy Efficiency Call 2016 H2020-EE-2016-2 15/03/2016 15/09/2016 EE06, EE09, EE11, EE13, EE14, EE16, EE21, EE22, EE24, EE25 43: - EE21: 5 - EE22: 8 - all other topics: 30

3.2.3 Societal challenge 'Climate action, environment, resource efficiency and raw materials'

²³ Ares(2015)5511097